

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of November 28, 2024***2010-00094**

RECEIVED: 06/04/10

FILED: 06/24/10

FINAL: 01/07/11

REOPENED:

SUSPENSION DATE: 12/31/10

**CASE CODE**

General Rates  
 Financing  
 Tariffs  
 Electronic Case

**UTILITIES:**

Northern Kentucky Water District

INTERVENORS: AG - Spenard/Skavdahl -

**HEARINGS:**

10/27/10 Formal Hearing  
 10/22/10 Informal Conference  
 10/21/10 Informal Conference  
 10/14/10 Public Meeting  
 05/19/10 Informal Conference

**INDEX OF EVENTS:**

09/27/12 Jack Bragg Status of Monthly Billing  
 06/30/12 Jack Bragg Status Report Implementation of Monthly Billing  
 03/29/12 POST CASE: Jack Bragg Required Update Monthly Billing  
 02/03/12 POST CASE: NKWD Response to Commission Letter of 011312  
 07/25/11 POST CASE: Northern Kentucky Water District Final Finance Information  
 06/28/11 POST CASE: Northern Kentucky Water District Monthly Billing update per Rate Order 2011-00094  
 06/06/11 POST CASE: NKWD's Letter Regarding Pending Issues and Critical Dates  
 03/31/11 Northern Kentucky Water District Update Monthly Billing  
 03/30/11 POST CASE: NKWD's Quarterly Status Report of Monthly Billing per 010711 Order  
 02/10/11 POST CASE: NKWD's Revised Tariff Sheets per Final Orders  
 01/07/11 Final Order Entered: (1) NKWD's Motion to Reconsider, Revoke or Vacate Order is granted. (2) NKWD is authorized to issue no more than \$32,500,000 of water district general revenue bonds within the interest rate range and payment period set forth in application. (3) The proceeds shall be used only for the purposes set forth in NKWD's application. (4) Within 30 days of the sale of proposed bonds, NKWD shall file a final version of its Plan of Finance in the same format as found in Exhibit A of NKWD's Application. (5) If NKWD has not sold the proposed bonds within 180 days of this Order, it shall advise in writing and further advise of the reasons for the delay in sale and expected date of sale. (6) Any provision of the Order of December 22, 2010 that conflicts with the provisions of this Order is revoked and rescinded. (7) NKWD's proposed rates are denied. (8) The rates as set forth in Appendix A are approved for service rendered by NKWD on and after the date of this Order. (9) The rates as set forth in Appendix B are approved for service rendered on and after January 1, 2012. (10) NKWD's proposed revisions to its rules and regulations are approved. (11) Within 20 days of the date of this Order, NKWD shall file revised tariff sheets that reflect the approved rates and tariff revisions. (12) Beginning March 31, 2011 and every quarter thereafter, NKWD shall file a written report on the status of its efforts to implement monthly billing for its residential customers.

12/22/10 Northern Kentucky Water District Motion  
 12/21/10 Affidavit of M. Todd Osterloh  
 12/21/10 Order Entered:  
 (1) NKWD is authorized to issue no more than \$32,500,000 of Water District Revenue Bonds, Taxable Build America Bond Series 2010.  
 (2) The proceeds of the issuance authorized herein shall be used only for the purposes set forth in NKWD's application.  
 (3) Within 30 days of the sale of the proposed bonds, NKWD shall file with the Commission a final version of its Plan of Finance in the same format as found in Exhibit A of NKWD's Application.  
 (4) Any documents filed pursuant to ordering paragraph 3 of this Order shall reference the number of this case and shall be retained in the utility's general correspondence file.

## Case Management System - KY Public Service Commission

*Regular Cases Before the Commission as of November 28, 2024*

12/21/10	Northern Kentucky Water District Additional Information
12/01/10	Response E-mail to Helen Hlebiczki
11/30/10	Office of Ky. Attorney General OAGs Brief
11/30/10	Northern Kentucky Water District Brief Filing
11/30/10	Brief of Intervener, Northern Kentucky Tea Party, Objecting to Proposed Adjustment of Rates, Issuance of Bonds, and Tariff Changes
11/19/10	NKWD's Responses to Staff's Hearing Information Request
11/16/10	Northern Kentucky Water District Requested check information description requested at hearing.
11/10/10	Northern Kentucky Water District Post Hearing additional information
11/04/10	Letter requesting information on test year expenditures
11/03/10	Memorandum dated 11/03/10 for Informal Conference of 10/21/10; Comments, if any, due within five days of receipt of letter
11/03/10	Response letter to Thomas J. Moore
11/01/10	Notice of Filing
11/01/10	Response letter to Holocher
11/01/10	Response letter to Donald Case
11/01/10	Letter of Concern from Thomas J. Moore
10/28/10	Public Comments from Donald Case
10/27/10	Response letter to William Strickley
10/26/10	Order Entered: <ol style="list-style-type: none"> <li>(1) NKYTP is granted limited intervention in this proceeding.</li> <li>(2) NKYTP shall be entitled to the full rights of a party at the hearing scheduled for October 27, 2010.</li> <li>(3) NKYTP's motion for full intervention is denied.</li> <li>(4) The Commission's Order of October 21, 2010 that relates to the hearing procedure shall remain in effect.</li> <li>(5) Cross-examination of witness shall be conducted in the following order: a) Attorney General; b) NKYTP; and c) Commission Staff.</li> <li>(6) Any exhibits that a party wishes to introduce at the hearing shall be marked with the party's name and a sequential number (e.g. AG Exhibit 1). Reference to a witness is not necessary.</li> </ol>
10/26/10	Letter of Concern from the City of Fort Mitchell
10/25/10	Letter of Concern from William Strickley
10/25/10	Northern Kentucky Water District Supplement information to Informal Conference held on 10-21-2010
10/22/10	ACM's Motion for Full Intervention
10/21/10	Order Entered: <ol style="list-style-type: none"> <li>(1) The informal conference previously set for October 22, 2010 is cancelled.</li> <li>(2) An informal conference will be held at the Commission's offices on October 21, 2010 at 1:00 p.m.</li> <li>(3) A public hearing shall be held at the Commission's offices on October 27, 2010 at 10:00 a.m.</li> <li>(4) No opening or closing statements from the parties will be permitted at the hearing.</li> <li>(5) Direct examination of witnesses who present written prepared testimony is limited to the authentication and adoption of that written testimony.</li> <li>(6) All parties shall file with the Commission responses to requests for information made at the hearing no later than November 8, 2010.</li> <li>(7) Any party wishing to submit a written brief in this matter shall file such brief with the Commission no later than November 24, 2010.</li> <li>(8) The transcript of the hearing shall be by video only.</li> <li>(9) When referring to a segment of the digital video record in its brief, a party shall set forth the month, day, year, hour, minute and second at which the reference begins as recorded on the digital video record.</li> <li>(10) Counsel for Commission Staff shall retain custody of all exhibits submitted at hearing and within seven days of the close of the hearing record shall file with the Commission a written exhibit list, all exhibits, and a copy of the digital video record.</li> </ol>
10/21/10	ACM's Motion for Full Intervention
10/18/10	Letter of Concern from J.S. Brown
10/15/10	Response E-mail to Rev. Thomas Stanley Cole
10/15/10	Letter of Concern from Leslie Kuetemeyer
09/17/10	NKWD's Response to Question 15, PSC 3rd Data Request (Original)
09/16/10	Northern Kentucky Water District Response to Question 15, PSC 3rd Data Request.
09/14/10	Northern Kentucky Water District Districts responses to PSC 3rd Data Request.

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of November 28, 2024*

08/31/10 Commission Staff's Third Information Request to Northern Kentucky Water District  
08/19/10 NKWD's Responses to Staff's Data Request of 7-30-2010  
08/17/10 Northern Kentucky Water District NKWD responses to Commission data request of 7-30-2010  
07/30/10 Commission Staff's Second Information Request to Northern Kentucky Water District  
07/29/10 Order Entered:  
Northern District's application for authority to incur additional indebtedness is continued beyond the 60-day period specified in KRS 278.300(2).  
07/29/10 Order Entered:  
Ms. Waters' request for intervention is denied.  
07/27/10 Northern Kentucky Water District Revised Response to Question 4, PSCDR1  
07/26/10 Northern Kentucky Water District Proof of Legal Notices to Customers (Original)  
07/22/10 Northern Kentucky Water District Proof of Legal Notices to Customers  
07/14/10 Order Entered: (1) NKWD is permitted to deviate from 90-day filing requirement. (2) NKWD is permitted to deviate from submitting monthly operating budgets for the period encompassing the pro forma adjustments.(3) NKWD's proposed rates are suspended from August 1, 2010 up to and including December 31, 2010. (4). The procedural schedule set forth in the Appendix shall be followed. (5) All documents shall comply with provisions of the Order of April 27, 2010. (6) a. Responses shall be appropriately bound, tabbed, indexed and shall include name of the witness responding. b. Each response shall be answered under oath or be accompanied by a signed certification. c. Any party shall make timely amendment to any prior response. d. For any request a party fails or refuses to furnish all or part of information, party shall provide written explanation of specific grounds for its failure to completely and precisely respond. e. The party responding shall serve electronic copy and a paper copy on Commission. 7. When NKWD is required to provide notice it shall forward a duplicate of notice and request to Commission when requesting publication. 8. At any hearing, neither opening statements, summarization of direct testimony, or sur-rebuttal testimony shall be permitted. 9. Motions for extensions of time shall be made in writing and will be granted only upon a showing of good cause. (10) Nothing contained herein shall prevent the Commission from entering further Orders in this matter.  
07/14/10 Requirements met letter  
06/24/10 Northern Kentucky Water District Supplemental Information and Revised Tariffs  
06/24/10 Northern Kentucky Water District Supplemental Information and Revised Tariffs (Original)  
06/22/10 Response Letters to Michael Barnett and Bonnie Bauer  
06/16/10 NKWD's Supplemental Requested Data to Application  
06/14/10 Northern Kentucky Water District Supplemental Requested Data to Application  
06/14/10 Deficiency letter issued  
06/14/10 Northern Kentucky Water District Electronic Notification Designees  
06/11/10 Public Comments Letter from Michael Barnett  
06/11/10 Public Comments Letter from Bonnie S. Bauer  
06/10/10 Marilyn Waters' Petition to Intervene  
06/07/10 Northern Kentucky Water District PSC Data Request #1 of 3/19/2010 - Response  
06/04/10 Northern Kentucky Water District Application for Rate Adjustment  
06/04/10 Northern Kentucky Water District PSC Data Request #1 of 3/19/2010 - Response  
06/04/10 Northern Kentucky Water District Application for Rate Adjustment  
06/02/10 Attorney General's Notice Regarding Electronic Notices and Messages  
06/01/10 Office of Ky. Attorney General Attorney Generals Notice Regarding Electronic Notices and Messages  
06/01/10 Memorandum dated 6/01/2010 for Informal Conference of 5/19/2010; Comments, if any, due within five days of receipt  
06/01/10 Northern KY Water District's Request to make case Electronic  
05/26/10 Order Entered Granting the Attorney General's Motion to Intervene.  
Attorney General shall be served with electronic notice of the issuance of all Commission Orders issued after the date of this Order and of all documents filed by any party to this proceeding. Attorney General shall comply with all provisions of the Commission's Order of April 27, 2010 related to the electronic filing of documents.  
05/19/10 Attorney General's Motion to Intervene with Notice Regarding Service of Orders  
04/27/10 Order Entered:  
NKWD's request for a deviation from 807 KAR 5:001 is granted.  
Within five days of the date of this Order, NKWD shall notify the Commission in writing of the e-mail addresses to which all electronic notices and messages related to this proceeding shall be served and NKWD shall file a statement as to whether it, or its agent, is capable of receiving electronic transmissions, and whether it waives its right to service of Commission Orders by United States mail.

DOC11R

## Case Management System - KY Public Service Commission

*Regular Cases Before the Commission as of November 28, 2024*

Each party granted leave to intervene in this proceeding after the date of this Order shall file such statement within five days of entry of the Order granting it intervention.

04/15/10

NKWD's Request for Deviation from Regulation and Electronic Filing

03/19/10

PSC staffs first information request to Northern Kentucky Water District

03/01/10

Acknowledge Receipt of Filing

02/26/10

Northern Kentucky Water District's Notice of Intent to File Application for an Increase in General Rates  
- Historic Test Year

---

Total Number of Cases: 1