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**Case Management System - KY Public Service Commission***Monitoring Compliance Cases Before the Commission as of April 18, 2025***2002-00277**

RECEIVED: 07/22/02

FILED: 07/22/02

FINAL: 03/24/08

REOPENED:

SUSPENSION DATE:

CASE NATURE: RWE Aktiengesellschaft, Thames Water Aqua Holdings GMBH, & American Water Works (Electronic case)

**CASE CODE**

Monitoring Compliance

Electronic Case

**UTILITIES:**

Kentucky-American Water Company

**INDEX OF EVENTS:**

11/13/09 Kentucky-American Water Company Condition 34 Report  
08/18/09 Thames Water Aqua Holdings' Notice of Condition 34 Reports  
08/17/09 Kentucky-American Water Company Condition 34 Report (Original & Electronic File Receipt)  
08/14/09 Kentucky-American Water Company Condition 34 Report  
07/06/09 Kentucky-American Water Company Condition 28 Report (Original & Electronic File Receipt)  
07/06/09 Kentucky-American Water Company Condition 28 Report  
07/02/09 Kentucky-American Water Company Condition 28 Report  
05/18/09 Kentucky-American Water Company Condition 34 Report  
05/15/09 Kentucky-American Water Company Condition 34 Report  
04/01/09 Kentucky-American Water Company Multiple Condition Filings (Originals and Electronic File Receipt)  
03/31/09 Kentucky-American Water Company Multiple Condition Filings  
02/12/09 Kentucky-American Water Company Condition 30 Report (Original & Electronic File Receipt)  
02/11/09 Kentucky-American Water Company Condition 30 Report  
02/06/09 Kentucky-American Water Company Condition 28 Report  
02/06/09 Kentucky-American Water's Condition 28 Report (Original/Electronic File Receipt)  
11/20/08 Kentucky-American Water's Condition 28 Report (hard copy)  
11/19/08 Kentucky-American Water Company Condition 28 Report  
11/14/08 KAW's Condition 34 Report (Hard Copy) and Electronic Case File Receipt  
11/14/08 Kentucky-American's condition 34 report  
08/07/08 Kentucky-American Water's Request  
08/06/08 Kentucky-American's Request  
08/06/08 Kentucky-American's Request for Approval of Payment of Dividend  
07/18/08 Kentucky-American Water's Notice  
07/18/08 Kentucky-American Water Co - Condition 23 Notice  
05/19/08 Thames Water's Notice of Condition 64 Reports - Quarterly Reports (hard copy)  
05/16/08 KAW Notice of Condition 34 Reports (hard copy)  
05/15/08 Kentucky-American Notice of Condition 34 Report  
05/09/08 Kentucky-American's Request for Approval of Payment of Dividend (original)  
05/07/08 Kentucky-American's Request for Approval of Payment of Dividend  
05/07/08 Thames Water Aqua Holdings Request  
04/01/08 Thames Water Aqua Holding's Notice of Condition 35 Filing (original)  
04/01/08 Thames Water Aqua Holdings' Notice of Condition 31 Filing (original)  
04/01/08 Thames Water Aqua Holdings' Notice of Ordering Paragraph 10 Report (original)  
04/01/08 Thames Water Aqua Holdings' Notice to Ordering Paragraph 9 (original)  
04/01/08 Thames Water Aqua Holdings' Notice of Condition 37 Filing  
04/01/08 Thames Water Aqua Holdings' Notice of Condition 48 Response  
04/01/08 Thames Water Aqua Holdings' Notice of Ordering Paragraph 8 and Condition 19 Report  
04/01/08 Thames Water Aqua Holdings' Notice of Condition 34 Reports  
03/31/08 Kentucky-American Multiple Notices/Reports  
03/24/08 Order entered:  
(1) This case is closed and removed from the Commission's docket.  
(2) The Executive Director shall place any future filings in the appropriate utility's general correspondence file or shall docket the filing as a new proceeding.  
03/14/08 Kentucky-American Water's Condition 30 Report (hard copy)

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03/13/08 Kentucky-American's Condition 30 Report  
02/19/08 Thames Water and American Water Works Report of Merger Costs (hard copy)  
02/15/08 Kentucky-American's Report of Merger Costs  
02/15/08 Thames Water Aqua Holdings and American Water Works' Report of Merger Costs  
02/11/08 Order Entered: Each party may within 20 days of the date of this Order advise the PSC in writing of its position on why this proceeding should not be closed and removed from the PSC active docket  
12/20/07 Order entered:  
Kentucky-American's December 31, 2007 common stock dividend of \$1,912,217 is approved.  
11/15/07 Kentucky-American's Request for Payment of Dividend  
11/15/07 Kentucky-American's Notice of Condition 34 Report  
11/15/07 KAW's Notice of Condition 34 Report (physical copy)  
11/15/07 KAW's Request for Payment of Dividend (physical copy)  
11/09/07 Kentucky-American's Condition No. 23 Notice  
11/09/07 Kentucky-American's Condition No. 23 Notice (Physical Copy)  
09/27/07 Kentucky-American Water Company's Condition 29 Notice  
09/20/07 FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Condition 29 Notice  
09/10/07 Order entered approving Kentucky-American's September 27, 2007 common stock dividend of \$1,050,152  
08/15/07 FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Notice of Condition 34 Reports Quarterly Reports  
08/15/07 FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Report of Merger Costs  
08/14/07 FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Petitioners Report  
08/06/07 FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Dividend Request  
08/06/07 Electronic filing of Kentucky-American Water Company's request for approval  
05/15/07 Electronic filing of Kentucky-American Condition 34 Report  
04/02/07 Electronic Filing for Multiple Notices for Kentucky-American Water  
02/15/07 Electronic filing of Kentucky American Water report of Merger Costs  
12/01/06 Order entered: Kentucky-American's December 4, 2006 common stock dividend of \$1,253,913 is approved  
11/15/06 Electronic filing of Kentucky-American Water Company's Notice of Condition 34 Reports  
11/10/06 Electronic filing of Kentucky-American Water Company's request of the payment of a dividend on common stock on December 4, 2006, in the amount of \$1,253,912.80  
08/16/06 Notice of condition 34 reports Quarterly reports  
08/15/06 Electronic filing of Report of Merger Costs and Condition 34 Report  
05/19/06 Final Order Joint Applicants' application for Commission approval of Kentucky-American's December 6, 2004 Third Quarter Dividend of \$391,848 is denied  
03/31/06 Electronic filing of Multiple Notices.  
03/31/06 Notice of response to ordering paragraph 9 from applicants  
03/31/06 Notice of Condition 37 Filing-RWE Subsidiaries and Affiliates of Thames Water Aqua Holdings GmbH, RWE Aktiengesellschaft, American Water Works Company, Inc., Thames Water Aqua U.S. Holdings, Inc. and Kentucky-American Water Company  
03/31/06 Notice of condition 31 filing from Applicants  
03/31/06 Notice of condition 10 report capital & operation & maintenance budgets for Kentucky American Water  
03/31/06 Notice of best practices implementation ordering paragraph 8 and condition 19 from applicants  
03/31/06 Notice of Condition 34 Filing- Annual Reports of Kentucky-American Water Company  
03/31/06 Notice of condition 48 response from applicants  
03/31/06 Notice of Condition 34 Reports - Annual Reports of Thames Water Aqua Holdings GmbH, RWE Aktiengesellschaft, American Water Works Company, Inc., Thames Water Aqua U.S. Holding, Inc. and Kentucky-American Water Company  
01/03/06 Electronic Filing - KY-American notice  
01/03/06 Notice from Kentucky-American Water  
12/16/05 Electronic filing - KY-American Response to Information Request  
12/16/05 Response of Kentucky American Water Co to PSC staff request for information dated Dec 9, 05  
12/13/05 Commission Staff's Request for Information to Kentucky-American Water Company; response due 12/16/05  
12/07/05 Electronic filing: -- Request for Dividend  
12/07/05 Request for PSC approval from Kentucky American Water Co & several other companies  
12/05/05 Electronic Filing of Kentucky-American Water Company's Notice of the change of the credit rating of American Water Corp.

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12/05/05 Notice of change of credit rating of American Water Corp to A by Standard & Poors

11/18/05 Electronic filing-- Condition 34 Reports

11/18/05 Notice of Condition 34 Reports from Kentucky-American Water

08/15/05 Electronic filing-- Notice of Condition 34 Reports

08/15/05 Electronic filing-- Report of Merger Costs

08/15/05 Notice of Condition 34 Reports from Kentucky-American Water

08/15/05 Report of Merger Costs from Kentucky-American Water

03/31/05 Electronic filing of Kentucky-American Water Company -- Multiple notices.

03/31/05 Notice of condition 48 response

03/31/05 Notice of condition 34 reports Annual reports

03/31/05 Notice of condition 35 filing KAWCS annual reports

03/31/05 Notice of condition 31 filing

03/31/05 Notice of best practices implementation ordering paragraph 8 and condition 19

03/31/05 Notice of condition 37 filing RWE subsidiaries & affiliates

03/31/05 Notice of response to Ordering paragraph 9

03/31/05 Notice of condition 10 report capital & operation & maintenance budgets

02/14/05 Report of merger costs Thames & American

12/13/04 Final Order entered; approves Kentucky-American's proposed 12/6/04 common stock dividend of \$391,848

11/16/04 Notice of condition 34 - quarterly reports

11/15/04 Electronic filing Kentucky-American Water Company -- Notice of Condition 34 Reports -- Quarterly Reports

10/22/04 Electronic filing Kentucky-American Water Company -- Request for approval of dividend on common stock by December 6, 2004

10/22/04 Joint request for PSC approval of payment of a dividend on common stock

10/06/04 Final Order entered: (1) Kentucky-American's declared dividend is consistent with dividend policy that existed prior to RWE's acquisition of control and is not unusual or extraordinary; (2) There is no evidence that proposed dividend poses any significant risk to Kentucky-American's ability to provide reliable service; (3) Kentucky-American's proposed 9/20/2004 common stock dividend of \$611,282 should be approved

08/13/04 Electronic filing Kentucky-American Water Company -- Notice of Condition 34 Reports - Quarterly Reports

08/13/04 KY-American original notice of condition 34 quarterly reports

08/09/04 Electronic filing Kentucky-American Water Company -- Notice and Request concerning various dividends.

08/09/04 Original of KY-American Request PSC's approval and Notice of payment of a dividend made by American Water Works

07/21/04 Electronic filing Kentucky-American Water Company -- Condition 28 Notice

06/29/04 KY-American and etc Notice of payment of dividend

06/28/04 Electronic filing Kentucky-American Water Company -- Notice of dividend payment.

05/21/04 Electronic Filing Kentucky-American Water Company's Notice of Condition 34 Reports - Quarterly Reports

05/21/04 Notice of condition 34 reports - quarterly reports

05/12/04 Electronic filing Kentucky-American Water Company -- Amended Notice of Bond Issuance

05/12/04 Kentucky-American amended notice of bond issuance

05/11/04 Electronic filing Kentucky-American Water Company -- Notice of Bond Issuance

05/11/04 KY-American notice of bond issue

03/31/04 Electronic filing Kentucky-American Water Company -- Notices

03/31/04 Electronic filing Kentucky-American Water Company -- Notice of Condition 10 Report

03/31/04 Notice of condition 37 filing RWE subsidiaries & affiliates

03/31/04 Notice of conditions 35 filing- KAWC'S annual reports

03/31/04 Condition Notice 10, ordering paragraph & condition 19 notice, ordering paragraph 9 notice, condition 31 notice, condition 48 notice, condition 34 notice, condition 3 notice & condition 37 notice - 34,35,37 are to large to file electronically so CD are furnished

03/04/04 KY-American notice of dividend payment

03/02/04 Electronic filing Kentucky-American Water Company -- Notice of dividend payment and read first document.

02/26/04 Electronic filing Kentucky-American Water Company -- Notice of Bond Issuance

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02/16/04 Joint petitioners Report of Merger Costs in response to Order paragraph 11 & condition 36 of the Order of Dec 20, 02 in case 2002-317

02/16/04 Electronic Filing Kentucky-American Water Company -- Report of Merger Costs

12/16/03 Electronic filing Notice of dividend payment by American Water Works Company, Inc.

12/16/03 Joint petitioners notice of a dividend payment on December 15, 03

11/25/03 Final Order approving Kentucky-American's 12/15/2003 common stock dividend of \$1,238,239

11/14/03 Electronic Filing Notice of Financial Reports for January through September, 2003 of RWE AG

11/14/03 Notice of Financial Reports on behalf of Thames Water; RWE Aktiengesellschaft; American Water; KY-American for January through September, 2003

10/29/03 Electronic Filing Request for Approval of Dividend Payment Kentucky American Water

10/29/03 Request for Commission approval of payment filed by Kentucky-American

10/21/03 Kentucky-American Water Company's Notice of Management Personnel Change

10/21/03 Joint Petitioners Notice of Management Personnel Change

09/08/03 Order entered approving Kentucky-American's 9/22/2003 common stock dividend of \$752,348

08/22/03 Joint petitioners amended notice with audited statements of Kentucky American Water CO

08/13/03 Notice of Dividend payment & notice referencing RWE AG internet site for a copy of the first half of fiscal 2003 report to shareholders pursuant to Order of December 20, 02 in case 2002-00317

08/12/03 Kentucky American Water Co request for PSC approval of the payment of a dividend on common stock on Sept 22, 03 pursuant to condition 32 of the Order of December 20, 02 in case 2002-00317

08/08/03 Notice of quarterly shareholders report in response to May 30,02 Order

07/22/03 Kentucky-American notice of compliance with condition 28 filed electronically

07/22/03 Joint Petitioners Condition 28 Notice

05/29/03 Joint petitioners Condition 28 Notice, Kentucky American Water, Thames Water Aqua Holdings , Thames Water Aqua US Holdings, RWE Aktiengesellschaft, American Water Works

05/29/03 Electronic filing of KY-American of its Notice of Compliance with Condition 28

05/23/03 Electronic filing from KY-American of its Notice of Compliance with Conditions 28 and 29

05/22/03 Joint Petitioners' Conditions 28 and 29 Notice.

05/14/03 Kentucky American Water Company's notice of management change

05/14/03 KY-American Electronically filed notice of management personnel change

03/31/03 Notice of Condition 35 filing from KAWC

03/28/03 Notice of response to Ordering paragraph 9 of Thames Water, American Water Works, Kentucky-American

03/28/03 Notice of condition 34 reports - Annual Reports

03/28/03 Notice of condition 10 report Capital & Operation & Maintenance Budgets

03/28/03 Notice of condition 37 filing RWE subsidiaries & affiliates

03/14/03 Notice of savings & cost tracking mechanism of Thames Water Aqua Holdings, American Water Works Co, Kentucky American Water Co ( after 4 filing)

03/14/03 Notice of attempted appointment of process agent for limited purposes of Thames Water Aqua Holdings, American Water Works Co, Kentucky American Water

02/28/03 Joint Petitioners notice of Financial Reports

02/11/03 Report on Merger costs

02/05/03 Notice of management personnel changes

02/05/03 Joint petitioners notice of debt issue by RWE AG

01/13/03 Response to ordering paragraph 14 of PSC Order of December 20, 02

01/03/03 Notice of filing Maryland, New York, New Jersey, Indiana, Arizona & California final Orders

12/11/02 Notice of filing Illinois final Order

09/10/02 Notice of filing New Mexico & Pennsylvania final Orders

09/06/02 Report of Expenditure Levels for Economic Development

09/05/02 Report of merger costs & RWE AG quarterly shareholder report

08/23/02 Objection & response to AG first request for information

08/07/02 AG first request for information

07/23/02 Order establishing case; all parties in Case No. 2002-00018 are made parties to this proceeding

07/22/02 Copy of letter from Kentucky American Water

07/22/02 Copy of letter from Lexington Fayette Urban County Council

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 Total Number of Cases: 1